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# Form Approved REPORT DOCUMENTATION PAGE OMB No. 0704-0188 Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS. 1. REPORT DATE (DD-MM-YYYY) 2. REPORT TYPE 3. DATES COVERED (From - To) 20 OCT 2015 Technical Brief 20 OCT 15 - 23 OCT 15 4. TITLE AND SUBTITLE 5a. CONTRACT NUMBER Logistic Regression Model on Antenna Control Unit Autotracking Mode **5b. GRANT NUMBER 5c. PROGRAM ELEMENT NUMBER** 6. AUTHOR(S) 5d. PROJECT NUMBER Daniel T. Laird 5e. TASK NUMBER 5f. WORK UNIT NUMBER 7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) AND ADDRESS(ES) 8. PERFORMING ORGANIZATION REPORT 412th TW/ENI, Edwards AFB CA 93524 NUMBER 412TW-PA-15240 9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) 10. SPONSOR/MONITOR'S ACRONYM(S) N/A 11. SPONSOR/MONITOR'S REPORT NUMBER(S) 12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release A: distribution is unlimited. 13. SUPPLEMENTARY NOTES CA: Air Force Test Center Edwards AFB CA CC: 012100 14. ABSTRACT Over the past several years DoD has imposed constraints on test deliverables, requiring objective measures of test objective results, i.e., statistically defensible test and evaluation (SDT&E) methods and results. These constraints force tester to employ statistical hypotheses, analyses and modeling to assess test results objectively, i.e., on statistical metrics, analytical methods, probability of confidence complemented by, rather than relying solely on expertise, which is too subjective an approach. In this paper we discuss methods of objectifying testing via statistical modeling and decide for a null- or alternative-hypothesis. This paper will present an Antenna Autotracking model using Logistic Regression modeling. This paper presents an example of tools useful for employing a SDT&E methodology. 15. SUBJECT TERMS

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# LOGISTIC REGRESSION MODEL ON ANTENNA CONTROL UNIT AUTOTRACKING MODE By DANIEL T. LAIRD

#### **ABSTRACT**

Over the past several years DoD imposed constraints on test deliverables, requiring objective measures of test results, i.e., statistically defensible test and evaluation (SDT&E) methods and results. These constraints force testers to employ statistical hypotheses, analyses and modeling to assess test results objectively, i.e., based on statistical metrics, analytical methods, probability of confidence complemented by, rather than solely on expertise, which is too subjective. In this and companion papers we discuss methods of objectifying testing. We employ an earth coordinate model and statistical modeling of telemetry (TM) tracking antenna employing time-space position information (TSPI) and derived statistical measures for *trackingerror* and *auto-tracking mode*. Test data were statistically analyzed via analysis of covariance (ANCOVA) which revealed that the antenna control unit (ACU) under test (AUT) does not track statistically identically, nor as practically or efficiently in C-band while receiving data carriers in both S- and C-bands. The conclusions of this paper add support to that hypothesis. In this third of three papers we use data from a range test, but make no reference to the systems under test as the purpose of this paper is to present an example of tools useful for employing a SDT&E methodology.

## **KEY TERMS**

H<sub>0</sub>, tracking mode, tracking error, TM, AGC, ACU, AT, Scan, Slew, GPS, TSPI samples, observables and predictors, ANOVA, ANCOVA, *F*-test, *t*-test, PDF, statistical model, inner-product, linear predictor, link function, logit, logistic regression, stochastic filter.

### INTRODUCTION

Using statistical analyses we decided to reject our test's null-hypothesis,  $H_0$  on objective criteria derived from ANOVA and t-test. The test null hypothesis is:

H<sub>0</sub>: AUT tracking modes on C-band are statistically independent of data carrier.

The AUT tracks on signal strength sampled via automatic gain control (AGC) circuits, and on controllable conic-scan (*Scan*) and antenna slew (*Slew*) rates. The test includes a C-band AUT tracking of C- and S-band carriers. Our measure of tracking is the *probability of autotrack mode* (AT) sampled from the AUT and regressed against AUT observed AGC and rate controls. Ideally the tracking algorithm should have no dependence on observed angles, and the tracking

<sup>b</sup> Rf. 1 & 2.

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<sup>&</sup>lt;sup>a</sup> Rf. 3

<sup>&</sup>lt;sup>c</sup> Rf. 1, 2, 4, 7 & 8.

algorithm is assumed to control the antenna center pointing angles independent of angle to target, i.e., the conic-scan centering is controlled via scanned signal strength. Our work bears this out: the better models exclude angle data. This paper is an extension of previous analyses of tracking as a synthesis of a tracking model that predicts autotracking based on *tracking modes*. A full predictor *stochastic filter* can be built on the predictor updates and angle errors of a linear model, where error is based on difference of observed and calculated angles of a *tracking-error* model. This paper presents an *auto-tracking*, or *mode* model.

#### LOGISTIC REGRESSION MODEL

TM signal power is modeled as bounded continuous parameters received and sampled from automatic gain control (AGC) circuits of the AUT. As well, azimuth and elevation angles are continuous parameters. The AUT employs two categorical  $tangential^e$  or rate control parameters we denote Scan and Slew. Available data includes these parameters and others from the tracker ACU, as well as target TSPI, i.e., GPS and INS. Since a tracking mode should not depend on its local angle we do not include angles in the model. This was not merely a choice, but the result of model reduction from the full model. We tried various models before settling on this 'best model' using a linear predictor based on ACU parameters independent of target. Autotrack holds if both azimuth and elevation modes auto-track, all other modes are considered not-auto-track. Thus we have two response states, which we map into the response set, y:{0,1}. This two-state response is the reason for a binary response, which is transformed to a continuous response p:[0,1] and regressed against a linear predictor for our model. The tracking-error model has continuous response, expected error that is linearly regressed against angle and signal strength parameters.

#### LOGIT of PROBABILITY:

The auto-tracking model is built on a *linear predictor* formed as an inner-product of an observable-control space and its regressed vector. The predictor form is

$$\mathbf{\beta} \bullet \mathbf{x} = \beta_0 + \Sigma \ \beta_k \mathbf{x}_k \ \equiv b\mathbf{x}. \tag{1}$$

This resolves to scalar.  $\beta$  designates regressed coefficients and  $\mathbf{x}$  are sampled vectors from the observable-control space;  $\beta_0$  designates the predictor intercept. The term, bx is a standard statistical representation of this *inner-product*. In the literature bx is also designated as  $\eta$ . The *link function* is defined to yield a linear response against the predictor (which we verify when checking the model). Our link is the natural logarithm of *odds*:

$$link(y) \equiv ln(O(p)) = ln(p/1 - p); p \in [0, 1].$$
(2)

This transforms the binary set  $y:\{0,1\}$  to a smoothed step function, or 'S-curve' bounding response to predictor to the interval [0,1]. Thus probability p (or of expectation  $\mu$ ) of the link of y is the logarithm of odds, or log-odds, also known as the logit of probability. Our model derives the logit of probabilities as the  $linear\ predictor$  of regression. We represent this:

<sup>&</sup>lt;sup>d</sup> Ibid.

<sup>&</sup>lt;sup>e</sup> Rf. 1, 7 & 8.

<sup>&</sup>lt;sup>f</sup> 4 & 7.

$$y \leftrightarrow \text{logit}(p) \sim lm(bx).$$
 (3)

The *odds* is easily recovered via exponentiation:

$$O(p) = p/(1-p) = e^{bx}$$
. (4)

From this we derive a probability of odds, which is our probability of response to observation:

$$p(O) = (1 + e^{-bx})^{-1} = p(f(y)).$$
 (5)

For tracking observation, y estimates the autotrack mode parameter  $AT \in \{1\}^+$ , which is regressed against other ACU parameters to transform a binary state to continuous state space  $y:\{0,1\} \rightarrow p:[0,1]$ . Regression parameters are collated in an R *dataframe*, shown in figure 1. We separate data by band. The probabilities (column 'ProbAT') are predicted by the model and appended to the frames post analysis. Each frame contains time from ACU and GPS-INS samples to align tracker and target data. We correlate at 1Hz. The observed angles and AGC values come from the ACU, the calculated angles and LoS are derived via geometric model<sup>g</sup> that translates TSPI global position, the attitude data is from the INS, the control data and AT, which is derived from the AUT Az/El track modes.

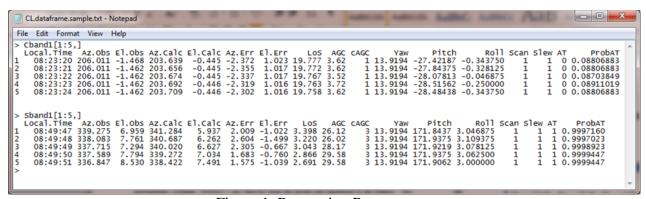


Figure 1 Regression Parameters

For each band we have the two categorical *tangential* control parameters<sup>h</sup>: *conical scan rate* encoded in two levels and planar *slew rate* encoded in four levels:  $Scan \in \{2\}^+$ ;  $Slew \in \{4\}^{+i}$ . In the models categorical parameter levels are *typed* as *factors*. The sampled data also contains continuous angle and AGC parameters. All angles are bounded modulo 360°: {Az.Obs, El.Obs, Yaw, Pitch, Roll}  $\subset$  [0, 360], and AGC, which is a derived parameter from truncated minimum and maximum power, ranging from  $0 \le dB \le 52$ , of two tracking receivers for both C- and S-band signals. The *full general linear model (glm)*, with link from the binomial family, is represented in R as:

$$glm(AT \sim AGC + Slew + Scan, family = binomial).$$
 (6)

Time alignment correlates ACU and TSPI samples at 1Hz. Observed angles and AGC levels come from the ACU, the expected angles and line-of-sight (LoS) are derived via a

<sup>&</sup>lt;sup>g</sup> Rf. 1.

<sup>&</sup>lt;sup>h</sup> Rf. 7 & 8.

 $<sup>{}^{1}\{</sup>n\}^{+} \equiv \{1, ..., n\}; \{n\} \equiv \{0, ..., n-1\}.$ 

geometric model<sup>j</sup> that translates TSPI global position to local azimuth and elevation angles of LoS between tracker and target. For reasons stated earlier, we do create our full model from all parameters, and employ different parameters creating the linear error model discussed in the companion paper on *tracking-error*. Figure 2a shows a statistical summary of the data, sans time and angles. We include angle errors for perspective. You can see that the mean AT count is consistent with probability (ProbAT) of the model, for both bands. It is also apparent why we do not use Yaw in modeling the target signal pattern – it is constant.

		summary S&C-	bands.txt - Notepad			×
File Edit Format View	Help					
> summary(Sband1[,	6:17])					^
Az.Err	El.Err	LoS	AGC	cAGC	Yaw	
Min. :-175.611	Min. :-87.714	Min. : 0.456	Min. : 0.010	Min. :1.000	Min. :13.92	
1st Qu.: -23.817	1st Qu.: -5.460		•	1st Qu.:1.000	1st Qu.:13.92	
Median : 0.828	Median : -2.736	Median : 8.703				
Mean : -9.808	Mean : -4.605	Mean : 9.943				
3rd Qu.: 2.817	3rd Qu.: −1.052					
Max. : 348.351	Max. : 8.233	Max. :21.255	Max. :45.330	Max. :5.000		
Pitch	Roll	Scan	Slew	AT	ProbAT	
Min. :-179.84	Min. :-9.156			n. :0.000 Mir		
1st Qu.: -15.11	1st Qu.: 2.484				t Qu.:0.0138504	
Median : 11.85	Median : 2.875			dian :0.000 Med		
Mean : 55.81	Mean : 2.789	Mean :1.225 M	lean :2.837 Me	an :0.273 Mea		
3rd Qu.: 171.69	3rd Qu.: 3.250			d Qu.:1.000 3rd		
Max. : 179.59	Max. :10.438	Max. :2.000 N	Max. :4.000 Max	x. :1.000 Max	x. :1.0000000	
> summary(Cband1[,6:17])						
Az.Err	El.Err	LoS	AGC	cAGC	Yaw	
Min. :-355.331	Min. :-79.188	Min. : 0.622	Min. : 1.160	Min. :1.00	Min. :13.92	
1st Qu.: -18.751	1st Qu.: -4.250	1st Qu.: 6.601	1st Qu.: 3.930	1st Qu.:1.00	1st Qu.:13.92	
Median : 0.241	Median : -1.581	Median :10.785	Median : 7.210	Median :1.00	Median :13.92	
Mean : -5.007	Mean : -2.874	Mean :11.126	Mean : 9.648	Mean :1.48	Mean :13.92	
3rd Qu.: 4.306	3rd Qu.: 0.376	3rd Qu.:15.745	3rd Qu.:13.360	3rd Qu.:2.00	3rd Qu.:13.92	
Max. : 349.406	Max. : 22.449	Max. :23.996	Max. :51.660	Max. :5.00	Max. :13.92	
Pitch	Roll	Scan	Slew	AT	ProbAT	
Min. :-179.781	Min. :-19.562	Min. :1.000		Min. :0.0000	Min. :0.0002933	
1st Qu.: -20.641	1st Qu.: 2.125	1st Qu.:1.000	•	1st Qu.:0.0000	1st Qu.:0.0280136	
Median : 3.844	Median : 3.094	Median :1.000		Median :0.0000	Median :0.0534819	
Mean : 40.032	Mean : 3.071	Mean :1.257		Mean :0.1549	Mean :0.1548841	
3rd Qu.: 169.141	3rd Qu.: 4.656	3rd Qu.:2.000		3rd Qu.:0.0000	3rd Qu.:0.1958546	
Max. : 180.000	Max. : 15.328	Max. :2.000	Max. :3.000	Max. :1.0000	Max. :0.9980557	
						$\sim$

Figure 2a Statistical Summary of Data

A simple count of the auto-tracks (sum 'AT') over the categorical parameters yields the table shown in figure 2b. Of the 5021 samples culled from the source data we have 968 auto-tracks (AT = 1) and 4053 non-auto-tracks (AT = 0). A quick estimate of probability of auto-track is  $968/5021 \approx .20$ .

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<sup>&</sup>lt;sup>j</sup> Rf. 1.

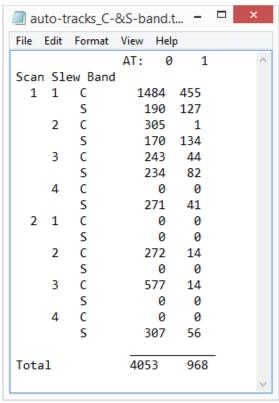


Figure 2b Auto-track Counts Summary

This quick look indicates about a 20% probability of being in autotrack, thus *odds* of  $\frac{1}{4}$ , over all scan and slew rate combinations. Figure 2a shows the average autotrack over both bands is  $(0.2730 + 0.1550)/2 \approx 0.2140$ . Thus predicted probability is consistent with the AT counts over both and across band samples. From this table we can calculate the probability over bands, scan and slew. We see in figure 2b that at *Scan* level 1, *Slew* level 4 there are no tracks. So we don't need this level in our model. Also at *Scan* level 2 only *Slew* levels 2 and 3 auto-track and only over C-band carrier. Rather than eliminate these, we allow the regression and ANOVA<sup>k</sup> table to reveal their insignificance. To decide on 'the best' model we build our model and reduce it. We reduce by eliminating or recategorizing parameters with a high *p*-value, or a low probability of information. Note that the *p*-value is not the probability of track, but a probability of significance derived from a *t*-test (displayed as t or z value), that the modeled parameter coefficient contributes informative rather than random model results. Once we have our reduced model, we test it against statistical metrics to determine our model's 'goodness of fit'.

k Rf. 1.

```
_ D X
 C&S-band_LogRM.models.1.txt - Notepad
File Edit Format View Help
#Log-RM Log-odds/odds-ratio/probability Test Results:
#S-band:
> summary(slogit)
glm(formula = AT ~ AGC + Scan + Slew, family = binomial, data = Sband1)
Deviance Residuals:
   Min
             1Q
-3.9063 -0.3310 -0.2065
                            0.0012
Coefficients:
            Estimate Std. Error z value Pr(>|z|)
                                           <2e-16 ***
(Intercept) -4.19102
                        0.35253 -11.888
                                           <2e-16 ***
             0.47310
                        0.03159 14.974
                                           0.0338 *
Scan2
            -0.73568
                        0.34658
                                 -2.123
                                           0.0225 *
slew2
             0.83928
                        0.36783
                                  2.282
slew3
            -1.03717
                        0.41592
                                 -2.494
                                           0.0126
Slew4
            -0.29220
                        0.38594
                                 -0.757
                                           0.4490
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
(Dispersion parameter for binomial family taken to be 1)
    Null deviance: 1889.82
                            on 1611
                                     degrees of freedom
                                     degrees of freedom
Residual deviance: 600.54 on 1606
AIC: 612.54
Number of Fisher Scoring iterations: 7
```

Figure 3a Regression Coefficients for S-band

The regression coefficients for our reduced model for the conic-scan antenna ACU autotracking on C-band for S- and C-band carriers are shown in figure 3a and b respectively. The full model for received C-band had significant interaction terms of the *Scan* level 2 and *Slew* level 2. You can see that these are statistically significant. Slew level 4 was excluded due to singularities and the model results show that *Slew* level 3 is not statistically significant. We could exclude this *Slew* factor level from the model, but will not as it would eliminate samples of angles and gain. We keep the models as is. The full S-band carrier model shows Slew levels 3 and 4 are statically insignificant. Note that each model has very different predictor coefficients indicating the parameters' influences in tracking are distinct to receiver band. These means of autotrack counts are the first indication of an inherent difference in tracking mode in distinct bands.

```
_ D X
 C&S-band LogRM.models.1.txt - Notepad
File Edit Format View Help
#C-band:
> summary(Clogit)
glm(formula = AT ~ AGC + Scan * Slew, family = binomial, data = Cband1)
Deviance Residuals:
Min 1Q Median 3Q
-1.7604 -0.4825 -0.3694 -0.1146
                                        3,6362
-6.951 3.62e-12 ***
-4.520 6.20e-06 ***
-0.248 0.804
             -4.683895
                          1.036357
slew3 -0.048593
Scan2:Slew2 5.808177
                          0.196259
                                      5.139 2.77e-07 ***
                          1.130320
Scan2:Slew3
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
(Dispersion parameter for binomial family taken to be 1)
Null deviance: 2939.2 on 3408 degrees of freedom
Residual deviance: 2075.8 on 3403 degrees of freedom
AIC: 2087.8
Number of Fisher Scoring iterations: 8
```

Figure 3b Regression Coefficients for C-band

```
_ D X
 C&S-band_LogRM.models.1.txt - Notepad
File Edit Format View Help
> summary(Clogit)
glm(formula = AT ~ cAGC + Scan * Slew, family = binomial, data = Cband1)
Deviance Residuals:
                      Median
    Min
-2.2090 -0.4525 -0.4367
                                -0.1358
Coefficients: (1 not defined because of singularities)
Estimate Std. Error z value Pr(s|z|)
(Intercept) -2.22731 0.09550 -23.322 < 2e-16
               1.45482
2.20250
                                                 < 2e-16 ***
CAGC2
                            0.12310
0.18824
                                       11.818
11.701
                                                 < 2e-16
cAGC4
                             0.39782
                                       11.503
                                                  < 2e-16 ***
                                       8.185 2.71e-16 ***
-6.990 2.75e-12 ***
CAGC 5
              4.92708
-2.37934
                            0.60193
Scan2
                            0.34040
              -4.70823
                             1.05230
                                       -4.474 7.67e-06
slew2
             -0.07469
                             0.19692
                                       -0.379
                                                    0.704
Scan2:Slew2
Scan2:Slew3
                                         5.197 2.02e-07 ***
               5.95483
                            1.14581
                                            NA
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
(Dispersion parameter for binomial family taken to be 1)
Null deviance: 2939.2 on 3408 degrees of freedom
Residual_deviance: 2118.2 on 3400 degrees of freedom
AIC: 2136.2
Number of Fisher Scoring iterations: 8
```

Figure 4 Alternate Model Coefficients for C-band

We could build a logistics model in which we eliminate the continuous parameters and instead categorize the AGC, as higher power levels should increase probability of autotrack. We examined such models and example results are shown in figure 4 for C-band carrier. It is clear that probability of autotrack mode is more sensitive to higher signal levels. But this

categorization of AGC does not improve the model's explanatory power, as is evidenced by the AIC score (a measure of the relative quality of the statistical model) compared to the AIC for the continuous AGC in figure 3b.; but does show sensitivity to autotrack increases with gain, which is useful information. For plotting purposes of estimating the probability density and cumulative density functions we employ continuous parameters. Figure 5a and b show these plots for S-and C-band carriers, respectively.

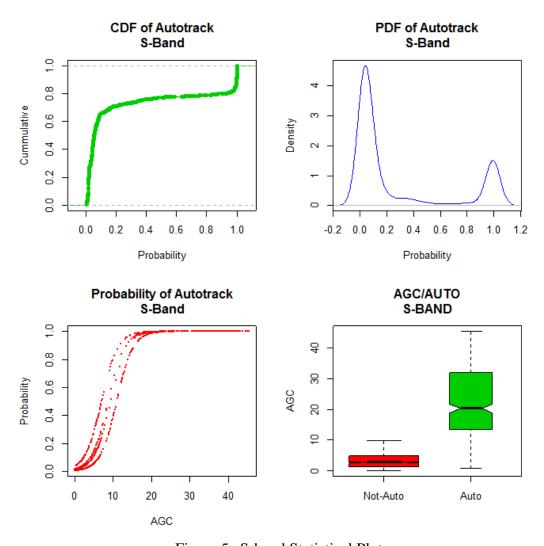


Figure 5a S-band Statistical Plots

Note the probability density (PDF) for both bands show negative probabilities; this is due to 'kernel smoothing' of the histogram. We can eliminate this by employing a histogram which shows the same information. I prefer to leave it as is, and realize the high density near probability zero is 'smoothed' into negative probabilities. Note too that receiving the S-band carrier results in a clear multimode PDF, with concentrations around p = 0 and p = 1, as expected given the high slope of the 'S- curve' (probability of autotrack). The C-band PDF has a less steep S-curve, with a greater dispersion. The box-plot shows a slight overlap in auto-track vs. not-auto-track near 10dB AGC.

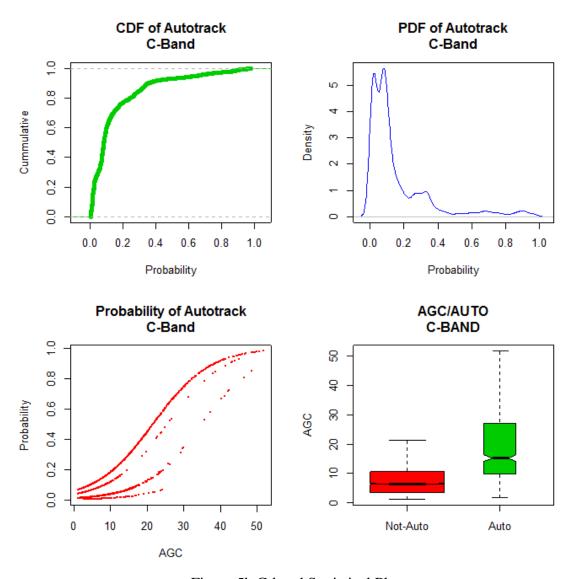


Figure 5b C-band Statistical Plots

Note the appearance in Figures 5a and 5b of what appears to be four probability curves for both bands. For S-band carriers there are actually five; one is hidden by overlap. With four slew and two scan rates we'd expect eight curves, but not all combinations were used. These separate curves are partitioned over combinations *Slew* and *Scan* for each AGC. A plot of a probability and logit vs. AGC at slew levels 1 and 2 at scan 1 is shown in figure 5c. The logit plot being linear over the AGC is a verification that the log-odds is linear w.r.t. the AGC predictor partitioned over the control set predictors. This linearity of the logit vs. predictor is an assumption essential to our model. Not only can we infer a difference in the ACU's ability to track over the two bands, but we can also infer that the ACU tracked C-band carrier less often in autotrack mode than it did S-band carrier. The number of auto-track states, as well as the gentle slope of the probability curve and the overlap of 'Not-Auto' 'Auto' around 10dB indicate a poor tracking profile in C-band of C-band carrier.

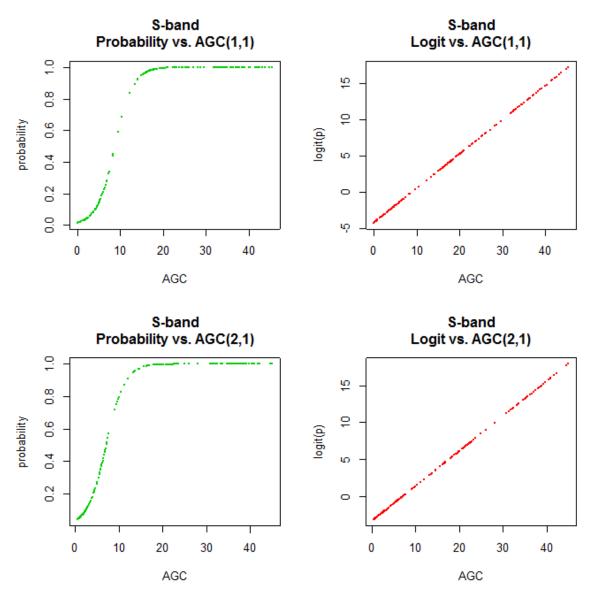


Figure 5c Probability and Logit Curves for S-band

## **SUMMARY AND CONCLUSION**

This paper presents a rather standard synthesis of a tracking filter using observed data and antenna tracking controls to model an autotracking predictor model that explores statistical measures on observations to decide the probability of autotracking, based on received signal strength and the state of control of the ACU. That there is an error in tracking is evidenced by the data, statistics and models developed in this and the preceding paper mentioned above. Our analysis and models confirm out conclusions reached in the companion paper that we reject the null,  $H_0$  and accept the alternative hypothesis:

H<sub>1</sub>: AUT autotracks statistically differently on C-band while receiving S- or C-band carriers.

There is also a practical difference. The probability of autotrack was far more decisive while receiving an S-band carrier. As to the cause, we make no hypothesis. The purpose was to determine if there was a significant statistical difference in the probability of autotracking in C-band when receiving C- and S-band carriers. The antenna modes are not close to identically probable within or across bands. This is not a general conclusion, but rather particular to the test configuration. We could extend our synthesis: this model, along with a tracking-error model, together form essential systems of a stochastic tracking filter. Such work must await another opportunity.

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